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**Draft Deliverable 1.12**

**Report on Review of IALA documentation related to VHF Data Exchange System**

# DOCUMENT STATUS

## Authors

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## Document History

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| Version | Date | Initials | Description |
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## Review

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# Introduction

In WP1 of EfficienSea 2, IALA leads Task 1.3: Coordinating standardization of solutions. IALA manages the work In Task 1.3, preparing reports and deliverables in conjunction with the other members of the Task Group (CIRM, UKHO).

# Document

This document provides a general overview and detailed review of the IALA documentation that may require amended to refer to, or include, VHF Data Exchange System (VDES).

A high-level overview of non-IALA (IMO and ITU) documents has also been carried out.

# VDES Document Review

## General comments:

* When an IALA document is withdrawn, recommend leaving reference on the website to the document number with indication of withdrawn, and date the document was removed.
* When an IALA document is superseded by another document or has been merged in a new document, recommend leaving reference on the website to the document number, with indication of what document has superseded / combined with and the date this was done.
* Where documents are related – such as V-128 and associated guidelines, recommend including the reference on the website and, if possible, providing a ‘grouped’ reference with notification on the left-hand panel. Reference to the appropriate guideline(s) / recommendation(s) should also be included in the document revisions section.
* Update VTS training link on the left-hand panel to include a landing page with all related VTS training documents.
* For general introduction / overview of VDES in the short term, there could be a ‘bridging’ recommendation that provides general information on VDES; references VDES Overview Guideline and notes the various recommendations and guidelines that are affected by the fact that AIS is part of VDES. Then as each document noted is revised more detailed / appropriate text can be included. This would be appropriate for each item identified for update ‘short term’ then the ‘mid-term / longer term’ approaches can provide more detail, be based on the latest developments in VDES as the time of revision.
* Document highlights – pink – withdrawn or recommend to withdraw; green – no amendment required; orange – need to monitor, amendment may be required.
* IHO, IEC and ISO documents not reviewed at this time.

# IALA Documents

| Doc Type / Number | Doc Name | Key points | Amendment Required? | When | Priority – H, M, L |
| --- | --- | --- | --- | --- | --- |
| ***IALA Documents[[1]](#footnote-1) - Recommendations*** | | |  |  |  |
| A-123 Ed.2 - June 2007 | Provision of shore based AIS | Highlights the requirement for members to establish AIS shore stations to respond to the SOLAS Chapter V, Reg. 19 (2.4) indication that AIS ship units can exchange information with the shore. | Yes – review required in general (old document); reflect VDES base station. Do minor update now / put in transition framework for more details as VDES BS develop. | Short term &  Mid term | H |
| A-124 Ed. 2.1 (base document) - December 2012 | Networking aspects of AIS (main document) | Indicates technical elements of networking AIS shore stations as part of an AIS service.  Series of appendixes refer. | Yes – for immediate time, update to ref VDES; put in transition framework for more details as VDES develops further. Suggest general overhaul of the A-124 series to be more streamlined and reduce extraneous references to appendixes that have never been developed / are unnecessary. | Short term &  Mid term | H |
| A-124 Appendix 0 Ed 1 - December 2012 | References, Glossary of Terms and Abbreviations | As indicated | Yes – add in VDES definition now; put in transition framework for additional definitions in future.  More general – verify how this fits with IALA Dictionary – consider discontinuing if details fit in Dictionary. | Short term  Longer term | H |
| A-124 Appendix 1 Ed 1 - December 2011 | Basic AIS Services | Presents the basic aspects of AIS as a defined set of items – supports interaction between the AIS and other shore-based technical services / links to the IALA Common Shore-Based System Architecture (CSSA). | Yes – add in overview para of VDES now; put in transition framework for more complete revision as VDES develops. | Short term &  Mid term | H |
| A-124 Appendix 4 Ed 1 - December 2011 | Interaction and data flow model of the AIS Service | Looks at the AIS aspects within overall data flow considerations. | Yes – add in overview para of VDES now; put in transition framework for more complete revision as VDES develops. Data flow more generally within the digital radio context (including VDES, which includes AIS) will need further review and development. | Short term &  Mid term | H |
| A-124 Appendix 5 Ed 1 - December 2011 | Interfacing model of the AIS Service | Identifies all interface points of the AIS Service, both M2M and HMI elements. | Yes – add in overview para of VDES now; put in transition framework for more complete revision as VDES develops. Interface points more generally within the digital radio context (including VDES, which includes AIS) will need further review.  Note – how does this fit with CSSA developments? | Short term &  Mid term | H |
| A-124 Appendix 9,10 & 11 Ed 1 - December 2012 | Functional Description of the AIS Service Components | Core document for the functional description of AIS. | Yes - add in overview para of VDES now; put in transition framework for more complete revision as VDES develops. Functional descriptions for all aspects will evolve in VDES.  Confirm how this fits with use cases for VDES – functional description of VDES. | Short term &  Mid term | H |
| A-124 Appendix 12 Ed 1 - December 2012 | Co-location issues at AIS Physical Shore Stations and on-site infrastructure considerations | Covers the possibility of an AIS-PSS being co-located with other AIS equipment, or  with non-AIS equipment suites which may or may not utilize shared antennas and/or network connectivity. | Yes - add in overview para of VDES now; put in transition framework for more complete revision as VDES develops. VDES development is looking at options re co-location or equipment, antennae, etc. | Short term &  Mid term | H |
| A-124 Appendix 14 – Ed 2 - December 2011 | FATDMA Planning and Operation of an AIS Service | Provides information on why, and how, to do FATDMA planning. | Yes - add in overview para of VDES now; put in transition framework for more complete revision as VDES develops. | Short term &  Mid term | M |
| A-124 Appendix 17 – Ed 1 - December 2011 | Channel Management by an AIS Service | Provides information on channel management, noting that channel management is an activity that requires careful planning. | In essence, Yes – add in overview para of VDES now. However, noting the ongoing discussions re channel management, put into a transition framework to verify if required in the future. | Short term &  Longer term | M |
| A-124 Appendix 18 – Ed 1 - December 2011 | VDL Load Management | Presents considerations and best practices when conducting AIS VDL Load management. | Yes – update to reflect the input to ITU on AIS VDL load issues / impacts and add in section on VDES. This could be a new section 6 – coming after additional design considerations. | Short term | H |
| A-124 Appendix 19 – Ed 1 - December 2011 | Satellite AIS Considerations | Presents the concepts of Sat AIS reception. | Yes – add in overview para on VDES, noting specifically Sat aspects. Include section on ASM developments post WRC-2015 (sat reception).  More general considerations re Sat aspect of VDES. | Short term &  Longer term | H |
| A-126 – Ed. 1.5 – June 2011 | Use of AIS in marine aids to navigation service | Notes opportunities for using AIS to assist in the provision of an AtoN service. | Yes – add in overview para on VDES, confirm outcomes of Korea workshop (Oct 2016) | Short term | M |
| V-103 ed2.1 - December 2013 | Training and Certification of VTS Personnel | Includes a series of model course (V-103/1; V-103/2; V-103/3; V-103/4; and V-103/5) | V-103 Ed 2.1 – no  V-103/1 – Yes (where AIS referenced)  V-103/2 – no  V-103/3 – Yes (where AIS referenced)  V-103/4 – no  V-103/5 – Yes (where AIS referenced / include in table1) | Short term  Short term  Short term | M  M  M |
| V-119 - December 2009 | Implementation of VTS | Notes the issues and opportunities presented by implementing a VTS. Includes needs analysis and criteria for determining type of service. | No amendment required |  |  |
| V-128 Ed. 4.0 - June 2015 | Operational and technical performance requirements for VTS | References equipment that could be in place at a VTS, noting the expected performance of each. (References guideline 1111) | No amendment required for recommendation. Guideline to be amended. |  |  |
| V-145 Ed 1 - June 2011 | The Inter-VTS Exchange Format (IVEF) service | Presents IVEF as a common framework for the exchange of vessel traffic information / vessel traffic image between shore-based e-navigation system. | Yes – mid-term time frame (link to AIS Shore side update A-123; A-124 appendixes) | Mid-term | L |
| O-138 – Ed. 1- Dec 2007 | The Use of GIS and Simulation by AtoN Authorities | Links to Reg 13 of SOLAS re AtoN and notes the benefits of GIS and simulation techniques in assisting AtoN authorities in assessing the requirement for, and provision of, AtoN. | Yes – add in overview para noting the link to AIS within the document. confirm outcomes of Korea workshop (Oct 2016) | Short term | M |
| O-139 – Ed 2 – December 2013 | Marking of man-made offshore structures | Identifies options to mark the increasing number of man-made structures as sea – including those that may be isolated or in groups, and in various locations. | Yes – confirm outcomes of Korean workshop (Oct. 2016) | Mid-term | M |
| O-143 – Ed 1.1 – May 2013 | Provision of virtual AtoN | Recognises the value of virtual AtoN, as well as the issues and concerns. Also notes the need to display information. (Guideline 1081 refers). | Yes – confirm outcome from Korean workshop (Oct 2016) | Mid-term | M |
| e-NAV-140 – Ed2 – May 2015 | Architecture for shore-based infrastructure ‘fit for e-Navigation’ | Notes the development of e-Navigation, and the work of other organisations including IMO and IHO. Specific reference to IHO’s Geo-spatial Information Registry (GI Registry). Recommendation on Service-Oriented Architecture and user-requirements driven system design. (related Guideline 1113 – also 1114, but not referenced in the document; need to confirm reference to 1114) | Not for the recommendation, but amendment for the related guidelines.  Should look at link to CSSA / IVEF as well (Note there is a need to make the reference in the Recommendation - document change notes only ‘moved to a new guideline’) |  |  |
| e-Nav-142 – Ed 1 – December 2009 | Maritime Data Sharing ‘IALA-NET’ | Recognises the development of vessel tracking technologies and capabilities and recommends that National Members participate in IALA-NET. | Yes – by short reference to VDES, noting AIS is part of VDES. Also, as VDES develops, requirement for / desire to share information is expected to grow. | Short term  &  Longer term | L |
| e-Nav-144 – Ed 1 – June 2011 | Harmonized implementation of application specific messages (ASM) | Refers to chapters V, Regulation 4, 10 and 13 of SOLAS as well as link to ITU. Highlights the need to harmonise ASMs and notes that IALA holds a registry of ASMs. | Yes - confirm outcome from Korean workshop (Oct 2016) | Short term | M |
| e-Nav-146 – Ed 1 – December 2011 | Strategy for maintaining racon service capability | Notes the development of NT Radars; the changes in development of S-band radars (not to trigger Racons – IMO MSC Resolution 192(79); the potential role of AIS. | Yes – amend section 5.6 – non-radar technology. Could also include text in section 6 – strategy. | Short term | M |
| ***IALA Documents[[2]](#footnote-2) - Guidelines*** | | |  |  |  |
| 1008 – Ed 2 – June 2009 | Remote control and monitoring of aids to navigation | Provides advice on how to develop an effective, modern system when a management decision has been made to employ electronic monitoring. | Yes – currently includes AIS AtoNs noting monitoring options. In VDES there could be effective monitoring opportunities. confirm outcome from Korean workshop (Oct 2016)  Put in transition framework for more revision as VDES develops | Short term &  Long term | M |
| Guideline 1026  Dated: December 2001 | AIS as a VTS Tool | With the introduction of AIS, and noting the shore element of vessel tracking, highlights how AIS can be used to assist in the provision of VTS. | Withdrawn |  |  |
| 1027 - June 2002 | Designing and implementing simulation in VTS training | Specific guidance regarding the design of VTS simulation training exercises. | No amendment required – although this should be kept under review. The document has not been updated since 2002 and is due for review and reference could be made in the future to technology for simulation, including VDES (AIS). |  |  |
| Guideline 1028  Dated: December 2004 | AIS – volume 1 – Part 1 – Operational issues | Presents overview of the various operational elements of AIS. | Recommend withdraw document. Document has not been updated since 2004, content either overtaken by events or included in other documents. |  |  |
| Guideline 1029  Dated: December 2002 | AIS – Volume 1 – Part 2 – Technical Issues | Presents overview of the various technical issues of AIS. | Recommend withdraw document. Document has not been updated since 2002, content either overtaken by events or included in other documents. |  |  |
| Guideline 1032  Dated: June 2005 | Aspects of Training of VTS Personnel relevant to the Introduction of AIS | A focus on additional training elements required to reflect the introduction of AIS in VTS. | Recommend withdraw document. Document has not been updated since 2005, content either overtaken by events or included in other documents |  |  |
| 1033 – Ed 1 – Dec 2003 | Provision of AtoN for different classes of vessels, including high-speed craft | Responds to developments in larger and faster vessels, and the need to provide appropriate AtoN. This includes provision of AtoN for HSC and other different classes of vessels. | Yes – noting the possibility for VDES to assist with additional AtoN aspects to support different classes of vessels.  confirm outcome from Korean workshop (Oct 2016)  Recommend the document is reviewed as a whole to determine if still valid / update. | Mid term | M |
| 1046 – Ed 1 – June 2005 | Response plan for the marking of new wrecks | Developed after the wreck of the Ticolor, noting the need to mark wrecks in a timely and effective manner. Introduces the Emergency Wreck Marking Buoy. | Yes – references the use of AIS in different sections.  Could introduce a generic para on VDES initially, noting the document has not been reviewed since 2005. | Short term &  Longer term | M |
| Guideline 1050  Dated: December 2005 | Management and monitoring of AIS | Looks at the management and monitoring of AIS information. Incudes limitations of AIS, use of AIS information for accident investigations, use of AIS data for planning and evaluation processes, design and implementation of AIS data storage, handling and processing systems. | Yes – requires amending.  Need to look at value of the document noting it hasn’t been updated since 2005 / compare with A-124. Confirm outcomes of Korea workshop (Oct 2016) | Mid term &  Longer Term (possible remove?) | M |
| 1056  Dated: June 2007 | Establishment of VTS radar | Notes specific elements to be taken into account when establishing radar for VTS. | Withdrawn |  |  |
| 1057 – Ed 1 – December 2007 | Use of GIS by AtoN Authorities | Notes the use of Geographic Information Systems (GIS) may assist in effective AtoN planning, including evaluation and validation; ensuring that funds are invested wisely in new technology. Includes the use of AIS information as input data. | Yes – a generic para that notes AIS as part of VDES. In future, data from VDES may be able to provide additional information.  Note – document is likely due for review / revision soon. Could combine with 1058 | Short term &  Mid term (if document full revision) | L |
| 1058 – Ed 2 – June 2011 | Use of simulation as a tool for waterway design and AtoN | The purpose of simulation for AtoN design, planning and evaluation is to identify and mitigate the risks (quantitatively) for the mariner operating in a specific waterway, channel and port area. It also includes evaluation (qualitatively) of channel layout, placement and technical specification of AtoN and manoeuvring aspects. (makes reference to 1057)  Simulation offers a relatively low cost method to help ensure that the AtoN solution provided meets the users’ requirements in an effective and efficient manner. | Yes – a generic para that notes AIS as part of VDES. In future, data from VDES may be able to provide additional information.  Could look to combine 1057/1058. Note similar concepts in 1078 and 1104. | Short term | L |
| 1059  Dated: June 2008 | Comparison of AIS stations | Provides a comparison of the information available from different AIS stations, noting message types. | Withdrawn – included in revised 1082 |  |  |
| 1062  Dated: December 2008 | AIS as an aid to navigation | Additional guidance on the use of AIS as an aid to navigation. Supports Recommendation A-126 | Yes – add in overview para on VDES,  Review and revise throughout based on developments of VDES / confirm outcomes of Korea workshop (Oct 2016) | Short term  &  Longer term | M |
| 1067-0 – Ed 1.1  Dated June 2011 | Selection of power systems for AtoN and associated equipment | This guideline contains descriptions of power generation, energy storage, load identification and calculation methodologies that are available for use with AtoN, together with their advantages and disadvantages. | No amendment required – generic overview and does not reference specific types of AtoN. Recommend amending 1067-1. |  |  |
| 1067-1 – Ed 1  Dated May 2009 | Total Electric Loads of AtoN | Provides guidance when planning to power an existing or a new AtoN, including electronic AtoN (i.e. AIS AtoN) | Yes – add in overview para on VDES. Note power requirements for future VDES base stations.  Confirm outcomes of Korea workshop (Oct 2016). | Short term  &  Longer term | M |
| 1069  Dated: May 2009 | Synchronisation of lights | Provides guidance on the provision of synchronised lights as part of an AtoN system for marking channels, waterways, and specific areas with regard to improving the conspicuity of AtoNs, especially in areas where good conspicuity of aids to navigation is difficult to achieve due, for instance, to background lighting. | Yes – generic para on VDES, noting the possibility of using VDES to assist with synchronisation in the future. Confirm outcomes of Korea workshop (Oct 2016). | Mid term | M |
| 1070  Dated: December 2009 | VTS role in managing restricted or limited access areas | Looking at when, and how, VTS manages traffic in restricted areas. | Yes – generic para on VDES, noting AIS part of VDES.  Could be options to enhance management of restricted areas in the future with VDES | Short term  &  Longer term | L |
| 1071  Dated: December 2009 | The establishment of VTS beyond territorial seas | Identifying the issues in establishing VTS beyond territorial seas, noting the implications of existing regulations and legislation. | No amendment required at this time. Document does not go into how traffic picture is maintained – however this may be appropriate in future. |  |  |
| 1072  Dated: December 2009 | AtoN information exchange and presentation | References e-Navigation and IMO NAV 54 - Common Maritime Information/Data Structure: Mariners require information pertaining to the planning and execution of voyages, the assessment of navigation risk and compliance with regulation. This information should be accessible from a single integrated system. Shore users require information pertaining to their maritime domain, including static and dynamic information on vessels and their voyages. | Document has limited value – basically a list of standards that may be out of date noting the developments in e-Nav since 2009.  Recommend verifying the value of the information / including in appropriate location (perhaps Navguide?) and withdrawing this guideline.  Note – related work on information exchange in VDES / other digital comms. |  |  |
| 1077  Dated: December 2009 | Maintenance of AtoN | Notes that maintenance is required to ensure that Aids to Navigation (AtoN) equipment and systems continue to perform at the levels required by mariners to safely navigate the world’s waterways. A maintenance system should be adopted to ensure that AtoN assets deliver the desired performance while minimizing Total Ownership Cost. | Yes – generic para on VDES, noting AIS part of VDES / VDES base stations future link with AtoN. Confirm outcomes of Korea workshop (Oct 2016) | Mid term | M to L |
| 1078  Dated: June 2011 | The use of AtoN in the design of fairways | Notes the objective is to define a suitable AtoN mix that enables safe and efficient vessel passage in the most cost effective way for AtoN providers. | Yes – generic para on VDES, noting AIS is part of VDES.  note outcomes of Korea workshop (Oct 2016).  Could look to combine 1057/1058. Note similar concepts in 1078 and 1104. | Short term  &  Longer term | L |
| 1079  Dated: Dec 2009 | Establishing and conducting user consultancy by AtoN authorities | Highlights the value in establishing and conducting user consultation when planning new AtoN or changes to their existing provision of AtoN. It is not restricted to the use of physical AtoN and other types of AtoN, such as VTS, AIS and applicable parts of e-Navigation should be considered. | Yes – generic para on VDES, noting AIS is part of VDES. | Short term | H |
| 1081 Ed 1.1  Dated: May 2013 | Provision of virtual aids to navigation | Provides guidance on the use of virtual AtoN risks and benefits, criteria for application, notification process, display, etc.  Notes the concept of virtual AtoN has roots in AIS but future means of transmission and presentation may evolve. AIS should not be construed as limiting virtual AtoN to that system. | Yes – add in generic para of VDES, noting AIS part of VDES. Confirm outcomes from Korea workshop (Oct 2016). | Short Term | H |
| 1082  Dated: June 2016 | An overview of AIS | Provides an introduction to AIS at an overview level for shore authorities and references relevant documentation where further information can be found. | Yes – generic para on VDES, noting AIS part of VDES. | Short term | H |
| 1084  Dated: June 2011 | Authorisation of AIS AtoN | Refers to A-126 and Guideline 1062. Identifies a procedure to authorise AIS AtoN. | Yes – generic para on VDES, noting AIS is part of VDES. Note link to ITU and unique ID as this develops.  Recommend this is merged with Guideline 1062 in the future. | Short term  &  Longer term | M |
| 1085  Dated: June 2012 | Standard format for electronic exchange of AtoN product information | AtoN authorities are making wide use of various database centric online asset management systems for managing AtoN equipment. Once the information on a particular product is entered into the database the information can be accessed by all relevant stakeholders within an organisation.  If AtoN equipment information was provided by manufacturers electronically in an agreed format it would be able to be loaded easily into the AtoN authority’s systems, saving time and eliminating data entry errors. | Yes - generic para on VDES, noting AIS is part of VDES.  Review as a whole to see if there is any impact from VDES on the PIF. | Short term  &  Longer term | M |
| 1086  Dated: June 2012 | Global sharing of maritime data and information | Promotes IALA-Net. | Yes - generic para on VDES, noting AIS is part of VDES. | Short term | L |
| 1088  Dated: December 2012 | Introduction to preparing S-100 product specifications | This Guideline introduces the process of developing S-100 Product Specifications. It is not intended to enable those unfamiliar with S-100 to develop Product Specifications, as that requires specialist knowledge of data modelling. The Guideline draws on Appendix A of IHO S-100, but reference should be made to that document for a more detailed description of the process. | No amendment required at this time.  Need to confirm how VDES may be referenced in future product specification as the system develops.  Recommend verifying how this fits with guideline 1106 |  |  |
| 1089  Dated: December 2012 | Provision of VTS (INS, TOS and NAS) | Gives guidance on the delivery of the three different types of services provided by a VTS; Information Service (INS), Traffic Organization Service (TOS) and Navigational Assistance Service (NAS). This guideline also aims to achieve consistency in the provision of the services worldwide in order to avoid confusion about the delivery of VTS services for the mariner trading between various jurisdictions. | Yes - generic para on VDES, noting AIS is part of VDES. | Short term | L |
| 1095  Dated: May 2013 | Harmonised implementation of ASMs | References IMO documents, ITU document and regional message. Provides means to harmonize establishment. | Yes – generic para on VDES, noting AIS is part of VDES. Confirm outcomes from Korea workshop (Oct 2016)  As VDES developments, some ASMs will move off AIS 1 and AIS 2. Complete revision of guidance on ASM will be required.  Note inconsistent use of ‘z’ in harmonised / harmonized. | Short term  &  Longer term | H |
| 1096  Dated: May 2013 | Anticipated user e-Navigation requirements from berth to berth, for AtoN authorities. | Written prior to the release of the IMO e-Navigation implementation plan. References AIS, including AIS related to AtoN. | Yes – generic para on VDES, noting AIS is part of VDES. Confirm outcomes from Korea workshop (Oct 2016)  May need to review as a whole now IMO has released the SIP. | Short term | M to H |
| 1097  Dated: May 2013 | Technical features and technology relevant for simulation of AtoN | Makes reference to guideline 1058, notes that 1097 provides greater technical guidance, supplementing 1058. | Yes – generic para on VDES, noting AIS is part of VDES.  Recommending reviewing both 1058 and 1097 with a view to merging in the future. | Short term | M to L |
| 1098  Dated: May 2013 | Application of AIS AtoN on buoys | Offers guidance regarding specification, installation and maintenance. Compliments A-126. | Yes – initially a generic overview, but future will require a full revision noting the different message types referenced (including binary / ASMs).  Recommend reviewing how this fits with guidelines 1095, 1085, 1084, 1081, 1077, 1067-1, 1062 | Short term  &  Longer term | H |
| 1101  Dated: December 2013 | Auditing and assessing VTS | Provide guidance for competent authorities and VTS authorities to meet their obligations under SOLAS for the establishment and operation of VTS. In particular, it aims to provide guidance for auditing and assessing a VTS and the subsequent on-going assessment and evaluation | Yes – generic para on VDES, noting AIS is part of VDES. | Short term | L |
| 1102  Dated: December 2013 | VTS interaction with allied or other services | Describes the issues to be considered and the principles to be respected for successful interaction between VTS and allied or other services. | No amendment necessary at this time.  May wish to review as shore side communications structures develop in support of e-navigation. |  |  |
| 1104  Dated: December 2013 | Application of maritime surface picture for risk assessment and provision of AtoN | This document provides guidance on the use of GIS to assess the requirement and impact of AtoN in the area of interest. It covers incorporation of charting overlays with new dangers and amplification of existing dangers. Automatic Identification System (AIS) data will be used to determine traffic profile and volume. | Yes – generic para on VDES, noting AIS is part of VDES.  Recommend review / merge with guidelines 1078, 1058, 1057. |  | L |
| 1105  Dated: December 2013 | Harmonized portrayal of e-Navigation related information | The objective of this document is to provide guidance on how to achieve a "*harmonized presentation*" of information ashore with the presentation on board in the e-Navigation context | Yes – generic para on VDES, noting AIS is part of VDES. Confirm outcomes from Korea workshop (Oct 2016)  Recommend reviewing /confirming relationship with guideline 1088 | Short term | M |
| 1106  Dated: December 2013 | Producing an IALA S-100 Product Specification | Aims to provide a common understanding of what is needed to implement products in the S-100 registry. Notes the IALA Domain within the IHO Registry is being created to provide standardized information in fields such as ATON, VTS and AIS support of the e-Navigation initiative. | Yes - generic para on VDES, noting AIS is part of VDES.  Future – will need to verify how VDES fits with S-100 overall. This can be done as VDES develops, to ensure compatibility of systems.  Recommend verifying how this fits with guideline 1088. | Short term  &  Mid term | M |
| 1108  Dated: December 2013 | Challenges of providing AtoN services in polar regions. | Address the specific challenges in implementing AtoN in polar regions, noting the areas are changing fast and can be expected to lead to new, seasonal shipping routes opening. | Yes – generic para on VDES, noting AIS is part of VDES. Can also note the development of VDES satellite aspect – may be opportunity to use this to support the work at ITU leading to WRC-19. . | Short term | M |
| 1110  Dated: December 2014 | Use of decision support tools for VTS personnel | Provides guidance on the use of DST for VTS when considering decisions on evolving or emergency situations. | Not required at this time. |  |  |
| 1111  Dated: May 2015 | Preparation of operational and technical performance requirements for VTS systems. | Provides a common source of information to assist Competent Authorities and VTS Authorities in the preparation and establishment of operational and technical performance requirements. | Yes – generic para on VDES, noting AIS is part of VDES. Can include guidance for the possible future of VDES base stations / link with ASM (confirm outcome of Korea workshop, Oct 2016).  section 3 (AIS) will need full revisions as VDES develops. | Short term  &  Longer term | M |
| 1113  Dated: May 2015 | Design and implementation principles for harmonised system architectures of shore based infrastructure | This Guideline establishes relevant principles for the design and implementation of harmonised shore-based technical system architectures. Identifies consequences from the international context for design and implementation of harmonised bore-based technical system architecture; identifies principles for seamless and traceable system engineering requirements; introduces the CSSA (see guideline 1114… note – 1114 is not referenced in 1113). | Yes – a general overview and integration text as appropriate – this will be a key document for review and update as VDES develops. There is a general requirement to consider VDES with regards to all forms of data exchange / formats for common shore-based system architecture.  Need to confirm how this fits with 1114  Number is missing from header after page 1 (yellow highlight). | Short term  &  Longer term | M |
| 1114  Dated: May 2015 | Technical specification for the Common Shore-based System Architecture (CSSA) | A best practice representation of a system layout which was designed, amongst other reasons, as an system engineering response to the prompt for a common technical shore-based system harmonised for e-Navigation (incl. its Human-Machine-Interfaces)’ as implied by IMO’s overarching architecture for e-Navigation | Yes – a general overview and integration text as appropriate – this will be a key document for review and update as VDES develops. | Short term  &  Longer term | M |

# Non-IALA Documents

| Doc Type / Number | Doc Name | Key points | Amendment Required? |
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| ***IMO Documents[[3]](#footnote-3)*** |  |  |  |
| Convention / SOLAS Chapter V, Regulation 10 | Ships routeing | Identifies that ships’ routeing systems contribute to safety, efficiency and marine environmental protection. Indicates process for establishing a ships’ routeing system. (reference to Resolution A.572(14), as amended) | No need to amend for VDES |
| Convention / SOLAS Chapter V, Regulation 11 | Ship reporting systems | Refers to ship reporting systems other than those established for search and rescue under the SAR convention. Identifies that ships’ reporting systems contribute to safety, efficiency and marine environmental protection. Indicates process for establishing such systems. (reference to Resolution MSC.43(64) as amended by MSC.111(73). Also references A.851(20) for reporting of incidents involving dangerous goods.) | No need to amend for VDES (does not specifically indicate how ships report) |
| Convention / SOLAS Chapter V, Regulation 12 | Vessel Traffic Services | Identifies that VTS contributes to safety, efficiency and marine environmental protection. Indicates need for risk analysis to determine need for VTS. (reference to Resolution A.857(20)) | No need to amend. |
| Convention / SOLAS Chapter V, Regulation 19.2.4 | AIS | Indicates carriage requirements for AIS  Notes that AIS on ships is expected to exchange information with shore stations. | Amendment required – note AIS is part of VDES. |
| Convention / SOLAS Chapter V, Regulation 19-1 | Long range identification and tracking | Notes that the regulation does not prejudice the rights, jurisdiction or obligations of States under international law. Indicates that ships are required to transmit specified information and that Contracting governments shall be able to receive LRIT for security and other purposes. (reference to Resolution MSC.242(83), MSC.263(84) and MSC.275(85) as may be revised; references related circulars) | No need to amend |
| Convention / SOLAS Chapter V, Regulation 34 | Safe navigation and avoidance of dangerous situations | References the requirement to prepare a voyage plan, taking into account any relevant ships’ routeing systems. (reference to Resolution A.893(21)). | No need to amend |
| Convention / SAR Chapter 5 | Ship Reporting Systems | Identifies that ship reporting systems may be established to facilitate search and rescue operations. (reference to Resolution A.851(20)) | No need to amend |
| Convention / MARPOL | Protocol I, Provisions concerning reports on incidents involving harmful substances. | Highlights the duty to report, when to report and the reporting process should a ship be involved in an incident where discharge is above a permitted level. Includes reference to supplementary reports and reporting procedures. (reference to Resolution A.851(20)) | No need to amend |
| Resolution A.572(14)  Dated: 1985, amended 1995 | General Provisions on Ships’ Routeing.  amended by MSC.71(69); MSC.165(78); MSC280(85); see also SN/Circ.241 | Indicates the objectives of ships’ routeing, provides definitions on such elements of routeing including: traffic separation schemes, inshore traffic zone, deepwater routes and recommended direction of traffic flow. Notes the procedures for establishing and methods of routeing, including design criteria. | No need to amend |
| Resolution A.851(20)  Dated: 2 December 1997 | General principles for ship reporting systems and ship reporting requirements.  Amended by MEPC.138(53) | The resolution includes reference to guidelines for reporting incidents involving dangerous goods, harmful substances and/or marine pollutants. General principles indicate that reports should contain only information essential to achieve the objectives of the system and a goal to keep the number of reports to a minimum. Types of reports are identified, as well as standard reporting formats. | No need to amend, although could note automated reporting options |
| Resolution A.857(20)  Dated: 3 December 1997 | Guidelines for Vessel Traffic Services | Provides definitions of terms connected with VTS, indicates objectives of VTS, responsibilities and liabilities for the Contracting Government (or competent authority) and the VTS authority. Includes guidance concerning the VTS services provided. Identifies requirements for planning for VTS, operating procedures, equipment and training. | No need to amend for VDES / could note as part of the review that the VTS Committee has on their workprogramme |
| Resolution A.893(21)  Dated: 4 February 2000 | Guidelines for voyage planning.  Related A.999(25) and MSC/Circ.1056 | Notes the requirement to take into account ships’ routeing and reporting systems, vessel traffic services and marine environmental protection measures. | No need to amend |
| Resolution MSC.43(64)  Dated: 9 December 1994 | Guidelines and criteria for ship reporting systems.  Amended by MSC.111(73), MSC.189(79). Revised by MSC.113(73) | Identified general considerations to be taken into account, including objectives of the system, communication means, the role of the shore based authority and participating ships. Includes criteria for planning, proposing and implementing systems, including process for adoption by the IMO. | No need to amend |
| Resolution MSC.242(83)  Dated: 12 October 2007 | Use of long-range identification and tracking (LRIT) information for maritime safety and marine environment protection purposes. | Indicates that LRIT can be used not only for security, but also for safety and marine environment protection purposes. | No need to amend |
| Resolution MSC.263(84)  Dated: 16 May 2008 | Revised performance standards and functional requirements for the long range identification and tracking of ships (LRIT) | Identifies the structure of the LRIT system, and explains each of the elements of the system. There are also a suite of related MSC.1 circulars including MSC.1/Circ.1298 on the implementation of LRIT and MSC.1/1259 and MSC.1/1294 for technical documentation. | No need to amend / could have VDES as a means to provide data into LRIT in future. |
| Resolution MSC.275(85)  Dated: 5 December 2008 | Appointment of LRIT coordinator | Indicates that the International Mobile Satellite Organisation (IMSO) is appointed as the LRIT coordinator. | No need to amend |
| Resolution MSC.74 (69) Annex 3  Dated: 12 May 1998 | Performance standards for AIS | Includes the functionality, capability and user interface (MKD) of AIS.  Notes that MMSI is used for ship / message identification.  Identifies the information sent – static, dynamic and voyage related. | Need to amend to note AIS is part of VDES (proposal from US for MSC 98) |
| Resolution MSC.140(76)  Dated: 5 December 2002 | Protection of the AIS VHF data link (VDL) | Recognizes the developments in AIS, specifically with reference AIS Class B and the impact that this could have on the VDL.  Recommends that administrations take steps necessary to ensure the integrity of the VHF channels used for AIS. | Need to amend to reflect VDES (protection of VDES spectrum?) |
| Resolution A.917(22)  Dated: 29 November 2001 | Operational Guidelines for onboard use of AIS | Provides information on the expected operation of AIS onboard SOLAS vessels, including: activation; data entry; confirmation of information; display of data; limitations in the use of AIS. | Need to amend to reflect AIS as part of VDES / operational guidelines for onboard use of VDES? |
| Resolution A.956(23)  Dated: 5 December 2003 | Amendments to the guidelines for onboard operational use of AIS |
| Resolution MSC.246(83)  Dated: 8 Oct. 2007 | Performance standards for AIS-SART | Identifies the requirements for an AIS SART, including operational requirements and battery capacity. | No need to amend (at this time) / may be reviewed as part of the AMRD work |
| Publication | Standard Marine Communications Phrases (2002) | Stresses the fact that the IMO SMCP have been compiled to assist in greater safety of navigation, to standardize language use and to assist maritime training institutions. Highlights the concept of message markers. Section A 1/6 presents VTS standard phrases. | No need to amend (at this time) / ability to use coded reference for language independent comms in VDES. Note IALA work to revise VTS related phrases |

| Doc Type / Number | Doc Name | Key points | Amendment Required? |
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| ***ITU Documents[[4]](#footnote-4)*** |  |  |  |
| ITU-R M.1371-5  Dated: 2014 | Technical Characteristics for AIS using TDMA in the VHF maritime mobile band | Identifies the technical requirements for AIS, including the different TDMA protocols  Presents tables for update rates for Class A and Class B (CS); complete message lists; transceiver and receiver characteristics. | Initially keep as is (working towards 1371-6). Will need to see how this fits with VDES related recommendations in future. |
| ITU-R M.585-5  Dated 10 / 2009 | Assignment and use of maritime mobile service identities (MMSIs) | Presents the numbering systems in use for MMSIs for the purposes of ship stations; coast stations; aircraft; Aids to Navigation; craft associated with a parent ship; | No amendment needed  Will need a Unique Numbering system for VDES, but not MMSIs. |
| ITU-R M.1084-5  Dated 2014 | Interim solutions for improved efficiency in the use of the bank 156-174 MHz by stations in the maritime mobile service | Indicates the possible move to migrate to narrow-band channel spacing (5kHz or 6.25kHz in future, initial move from 25kHz to 12.5kHz).  The ‘freeing up’ of bandwidth will enable increased use of the existing spectrum, expected to be an issue in the development of e-navigation. | No amendment necessary |
| ITU-R M.2092-0  Dated: 2015 | Technical Characteristics for a VHF data exchange system in the VHF maritime mobile band | Presents technical characteristics of a VHF data exchange system (VDES) which integrates the functions of VHF data exchange (VDE), application specific messages (ASM) and the automatic identification system (AIS) in the VHF maritime mobile band (156.025-162.025 MHz) | Amendment required as VDES develops (core VDES document) |

1. Additional IALA documentation available includes Manuals, IALA dictionary and proceedings from VTS Symposiums and IALA conferences. [↑](#footnote-ref-1)
2. Additional IALA documentation available includes Manuals, IALA dictionary and proceedings from VTS Symposiums and IALA conferences. [↑](#footnote-ref-2)
3. Note – additional IMO documents identified in the literature review include a number of related circulars which provide further guidance for members. These documents have been included in the reference file for the thesis work, but are not listed here. [↑](#footnote-ref-3)
4. Note – a number of additional work elements for ITU Study Group 5B (maritime radio) have also been identified. As these represent work that is developing, they have not been included here, but do form part of the reference file for the thesis development. The results of the World Radio Conference 2012 will also be taken into account as the thesis develops. [↑](#footnote-ref-4)